

## Dual-functional Polymeric Waveguide with Optical Amplification and Electro-optic Modulation

Dechang An , Zuzhou Yue , and Ray T. Chen

Microelectronics Research Center  
University of Texas at Austin, Austin, Texas 78712

### ABSTRACT

Optical amplification and electro-optic modulation have been observed simultaneously in one polymeric material photo-lime gel which has been used as a volume holographic material to produce dichromated gelatin (DCG) films. In this paper, the dual functions were achieved by doping neodymium chloride hexahydrate ( $\text{NdCl}_3 \cdot 6\text{H}_2\text{O}$ ) and chlorophenol red ( $\text{C}_{19}\text{H}_{12}\text{Cl}_2\text{O}_5\text{S}$ ). The optimized doping concentrations of  $\text{Nd}^{+3}$  and chlorophenol red were  $6.7 \times 10^{19} / \text{cm}^3$  and 23% respectively. We observed a gain of 3.8 dB at  $1.04 \mu\text{m}$  and an electro-optic coefficient of  $30 \text{ pm/V}$  at 633 nm. The experimental results confirms that the co-doping process does not degrade the respective functions of  $\text{Nd}^{+3}$  for optical amplification and chlorophenol red for electro-optic modulation.

**Keywords:** optical amplifier, electro-optic modulation, polymeric waveguide.

Dichromated gelatin (DCG) has been used widely as a volume hologram emulsion for many years[1]. A myriad of optical elements, such as wavelength division multiplexers, laser filters, cavity mirror for electro-optic modulation, and display holograms, has been demonstrated using this polymeric material[2-12]. In order to realize a monolithically-integrated polymer-based optical circuit, active devices such as waveguide modulators, switches, amplifiers, and lasers are needed. In this paper, we report a dual-functional polymeric waveguide using the same host polymeric material for DCG. The host material photo-lime gelatin is co-doped with neodymium chloride hexahydrate ( $\text{NdCl}_3 \cdot 6\text{H}_2\text{O}$ ) and chlorophenol red ( $\text{C}_{19}\text{H}_{12}\text{Cl}_2\text{O}_5\text{S}$ ). Neodymium chloride hexahydrate (NCH) is doped to provide  $\text{Nd}^{+3}$  ions for amplification, and chlorophenol red (CR) to provide electro-optic (EO) modulation.

Aqueous solution of photo-lime gel has a unique sol-gel ability to form a low loss waveguide[12]. However, the solubility of chlorophenol red in water is low (less than 30 mg/ml). Ethylene glycol (EG) can dissolve chlorophenol red very well, but partially dissolve gelatin. In order to get a higher doping concentration of chlorophenol red, so as to get a higher EO coefficient, we developed a fabrication procedure, as shown in Figure 1.

Gelatin and  $\text{NdCl}_3 \cdot 6\text{H}_2\text{O}$  were dissolved in water, and kept in hot bath for 4 hours at  $80^\circ\text{C}$ , while chlorophenol red was dissolved in ethylene glycol ( $\text{HOCH}_2\text{CH}_2\text{OH}$ ) and kept in hot bath for one hour at  $80^\circ\text{C}$ . The ratio of ethylene glycol and water was 2/3. The two solutions were mixed together and put in water  $80^\circ\text{C}$  bath for another 4 hours. A uniform solution containing  $\text{Nd}^{3+}$  ions and CR chromophore was thus formed. The quality of the solution is pivotal to make a high-performance waveguide. The concentration of photo-lime gel in the mixed solution was 100 mg/ml. Waveguide films were spin-coated on glass substrate, and dried in vacuum at  $80^\circ\text{C}$ . The thickness of the film was controlled within 3–5  $\mu\text{m}$  by changing the spin speed.

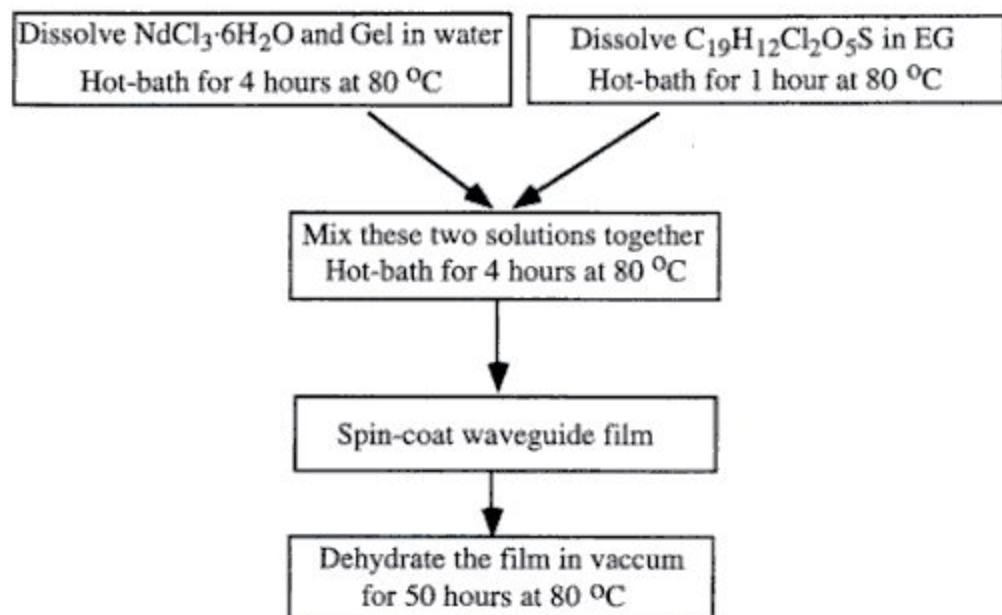
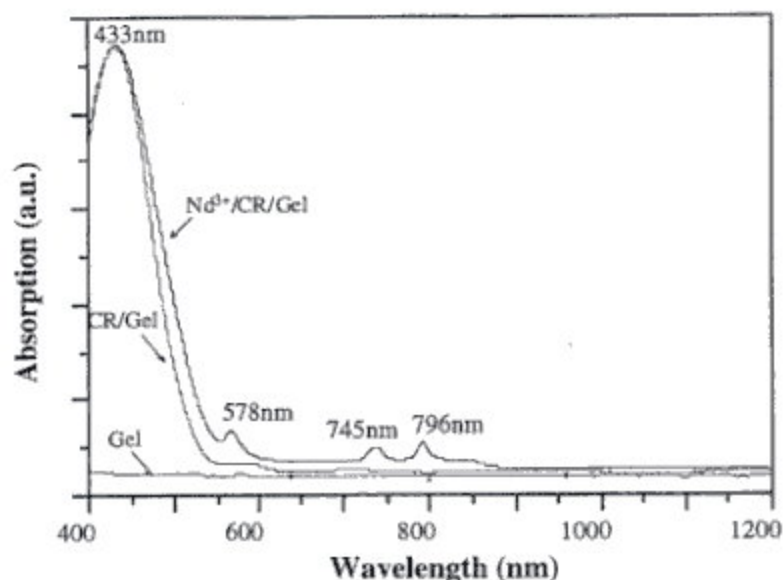


Fig. 1 Procedures to Make a Waveguide Film

The absorption spectra of three samples, a photo-lime gel (Gel) film, a chlorophenol red doped gel (CR/Gel) film, and an  $\text{Nd}^{3+}$  and chlorophenol red co-doped gel ( $\text{Nd}^{3+}/\text{CR}/\text{Gel}$ ) film, shown in Figure 2, were measured with a Lambda spectrometer. Within the range of 400–1200 nm, four main absorption bands were observed, centered at 433nm, 578nm, 745nm, and 796nm. The first strong absorption band is due to chlorophenol red. The other three smaller bands of absorption correspond to the transitions of  $\text{Nd}^{3+}$  from ground state ( $^4\text{I}_{9/2}$ ) to excited states of ( $^2\text{G}_{7/2}$ ), ( $^4\text{F}_{7/2}$ ), and ( $^4\text{F}_{5/2}$ ), respectively. The absorption spectrum due to  $\text{Nd}^{3+}$  is very similar to that of  $\text{Nd}^{3+}$  doped silica fibers [13].



**Fig. 2** The Absorption of Waveguide Films with Different Dopants  
 (1) No doping in Gel film; (2) CR is 23 wt. % in CR/Gel film;  
 (3) NdCl<sub>3</sub> and CR are 2.1% and 23% by weight in Nd<sup>3+</sup>/CR/Gel film

Table 1 shows the propagation losses of waveguides of photo lime gelatin doped with Nd<sup>3+</sup> and chlorophenol red at 796 nm (for pump beam) and 1064 nm (for signal beam). The thicknesses of the waveguides were ~3 μm, coated on BK-7 glass substrate (n=1.515 at 593 nm). The propagation losses at 1064 nm were in the neighborhood of 0.3-0.4 dB/cm for all of the waveguides. This shows that the simultaneous doping of NdCl<sub>3</sub>·6H<sub>2</sub>O and chlorophenol red has little effect on the absorption at 1064 nm. However, significant losses were observed in Nd<sup>3+</sup>/CR/Gel films at 796nm. Apparently, the loss was caused by Nd<sup>3+</sup> ion absorption.

**Table 1** Propagation Loss of Light in Gelatin-based Waveguides

Waveguide Samples	Nd <sup>3+</sup> (10 <sup>19</sup> /cm <sup>3</sup> )	Composition (wt. %)			Propagation Loss (dB/cm)	
		NdCl <sub>3</sub>	CR	Gelatin	796nm	1064nm
1. Gel	0	0	0	100	0.30	0.28
2. CR/Gel	0	0	23	77	0.39	0.37
3. Nd <sup>3+</sup> /CR/Gel	6.7	2.1	23	74.9	1.94	0.40
4. Nd <sup>3+</sup> /CR/Gel	11.2	3.5	23	73.5	5.13	0.40



Figure 3 shows the set-up for amplification measurement. The waveguide under test was mounted on a prism coupling stage. The pumping beam, from a tunable Ti:Sapphire laser, was coupled into the waveguide using the Prism  $P_1$ , as indicated in Figure 3. The 1064nm signal beam was provided by an Nd:YAG laser, and coupled into the waveguide using the Prism  $P_2$ . Note that  $P_1$  also functions as the output prism for the signal beam. The pumping beam and the signal beam were carefully aligned to ensure the overlap with each other to get the optimum amplification. A laser beam analyzer and an infrared CCD camera were employed for the alignment. The 1064 nm amplified signal was detected after passing through a wavelength-filtering system containing a rejection filter  $F_1$ , and a laser band-pass filter  $F_2$  both working at 1064 nm.

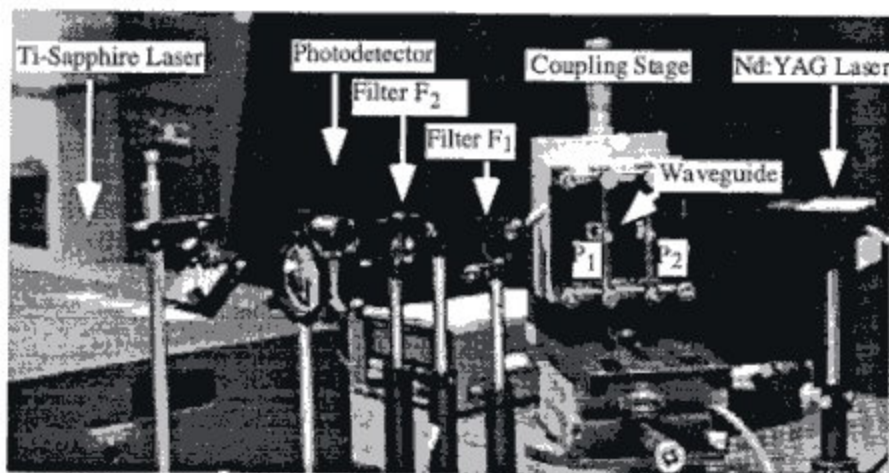


Fig. 3 Photograph of Amplification Measurement Setup

The optical amplification was experimentally confirmed to be dependent on the pumping power, the  $\text{Nd}^{+3}$ -doping concentration, and the interaction length of the signal and pump beams. Figure 4 shows the variation of optical gain versus the pumping power with  $\text{Nd}^{+3}$  doping concentration of  $6.7 \times 10^{19} / \text{cm}^3$  (sample 3 of Table 1). The interaction length of the signal and pumping beams in the waveguide was fixed to 1.8 cm. For this sample a saturated gain of 3.8 dB was observed, corresponding to a pump power of 49 mW.

The relationship between the gain and the concentration of  $\text{Nd}^{+3}$  is further illustrated in Figure 5. The concentration of chlorophenol red of all the waveguides was fixed at 23 wt. %. The optimized concentration of  $\text{Nd}^{+3}$  for amplification was  $\sim 6.7 \times 10^{19} / \text{cm}^3$ . Gain quenching occurred seriously when  $\text{Nd}^{+3}$  doping concentration was higher than  $7.8 \times 10^{19} / \text{cm}^3$ .

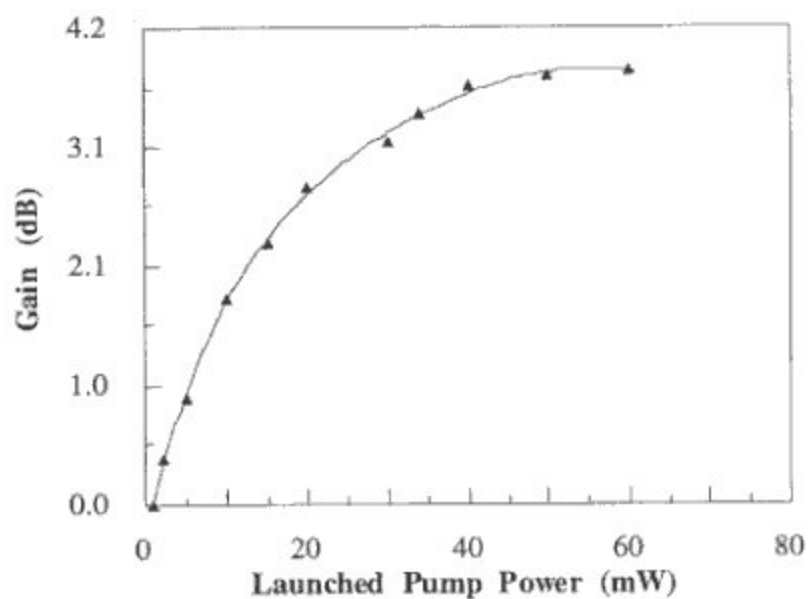


Fig. 4 Dependence of optical gain on the launched pumping power  
Signal: 1 mW at 1064 nm; Pumping at 796nm (Sample 3 of Table 1)

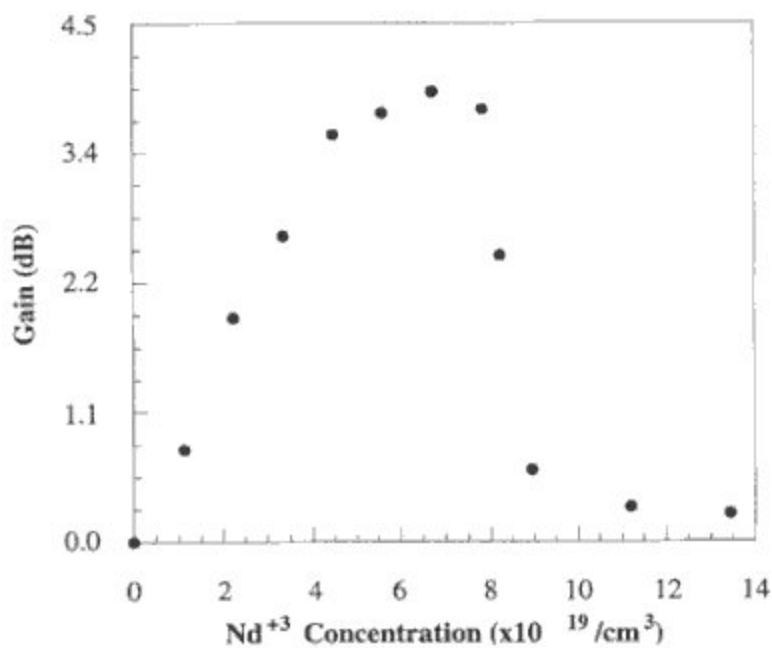


Fig. 5 Dependence of Optical Gain on Nd<sup>3+</sup> Concentration  
Signal: 1mW at 1064 nm; Pump: 50 mW at 796nm. The CR concentrations of the waveguides are all the same, 23 wt. %

$\text{Nd}^{3+}$  has two broad absorption bands centered at 745 nm and 796 nm, as indicated in Fig. 2. These absorption bands were further confirmed by the measurement of gain versus pumping wavelength, shown in Figure 6. The pumping efficiency reached maximum around 745 nm and 796 nm and decreased slowly when the pump wavelength was detuned away from the peaks.

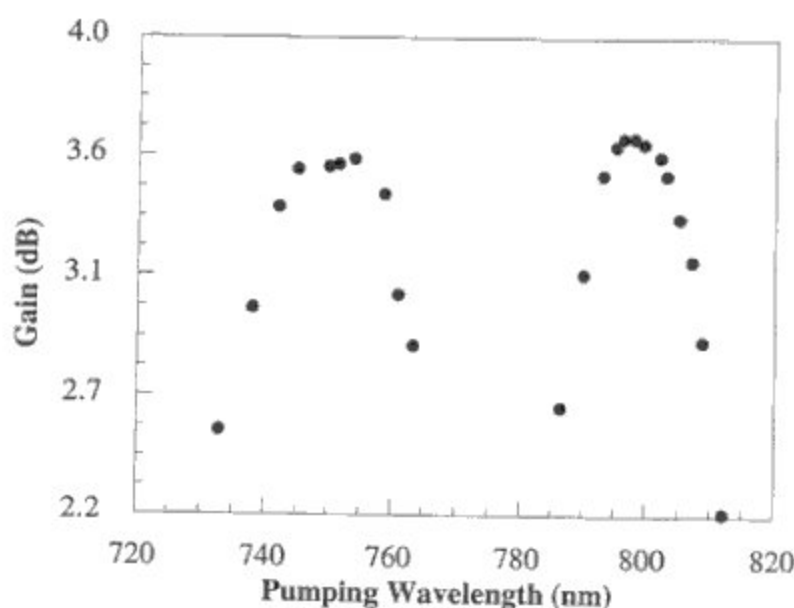
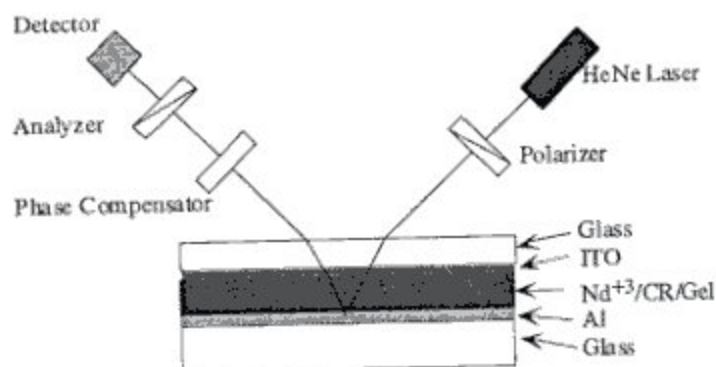


Fig. 6 Dependence of gain on pumping wavelength for Sample 3 in Table 1  
Signal: 1 mW at 1064 nm; Pump: 50 mW

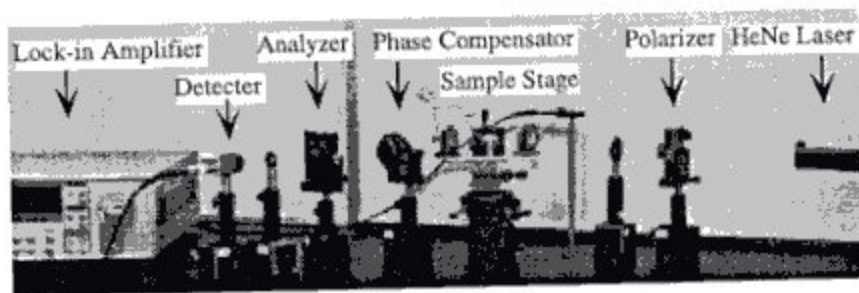
To further evaluate the EO effect of the same  $\text{Nd}^{3+}/\text{CR}/\text{Gel}$  film, upon which the amplification phenomena was observed, contact poling was performed at the glass transition temperature. A  $0.2\mu\text{m}$ -thick aluminum layer was deposited on a glass substrate by E-beam evaporation, to fabricate an electrode for poling and modulation. An  $\text{Nd}^{3+}/\text{CR}/\text{Gel}$  film was spin-coated directly on the Al electrode. An optically transparent indium tin oxide (ITO) layer was made on top of the  $\text{Nd}^{3+}/\text{CR}/\text{Gel}$  film to serve as the second electrode. Figure 7 shows the setup for the electro-optic coefficient measurement using the optical retardation method. A 10mW HeNe laser beam was coupled from the back of the glass substrate. It propagates through the substrate, the transparent ITO layer, and the  $\text{Nd}^{3+}/\text{CR}/\text{Gel}$  film, and then is reflected back by the Al electrode. In our experiment, the polarization of the incident beam was set at  $45^\circ$  to the incident plane, so that the parallel and perpendicular components of the optical field were equal in amplitude. The beam reflected from the Al coating propagated through a Soleil-Babinet phase compensator, an analyzer, and into a photodiode. The analyzer was set at a cross-polarization angle with



respect to the polarizer. The EO modulation in the beam was measured through a lock-in amplifier.



(a) Schematic Diagram



(b) Photogram

Fig. 7. Setup for Electro-optic Coefficient Measurement

The electro-optic coefficient  $r_{33}$  was calculated using the following equation[14]:

$$r_{33} = \frac{3\lambda I_m}{4\pi V_m I_c n^2} \frac{(n^2 - \sin^2 \theta)^{3/2}}{(n^2 - 2\sin^2 \theta)} \frac{1}{\sin^2 \theta}$$

where  $\lambda$  is wavelength,  $\theta$  is incident angle,  $V_m$  is the amplitude of the electrical modulating signal,  $I_m$  is the peak intensity of the detected ac optical signal, and  $I_c$  is the half intensity of the dc optical signal. For Sample 3 in Table 1, prepared with  $6.7 \times 10^{19} / \text{cm}^3$  of  $\text{Nd}^{+3}$  and 23 wt. % of chlorophenol red, its electro-optic coefficient  $r_{33}$  at the wavelength of 633 nm was experimentally confirmed to be 30 pm/V with a poling strength of 200V/ $\mu\text{m}$ . Figure 8 shows the modulation characteristics of this device on an oscilloscope. The upper curve is the electrical modulating signal. The bottom curve is the corresponding modulated optical signal from a HeNe laser. Note that the amplification of 3.8 dB and the EO coefficient of 30 pm/V at 633 nm were observed at the same device after the poling experiment.

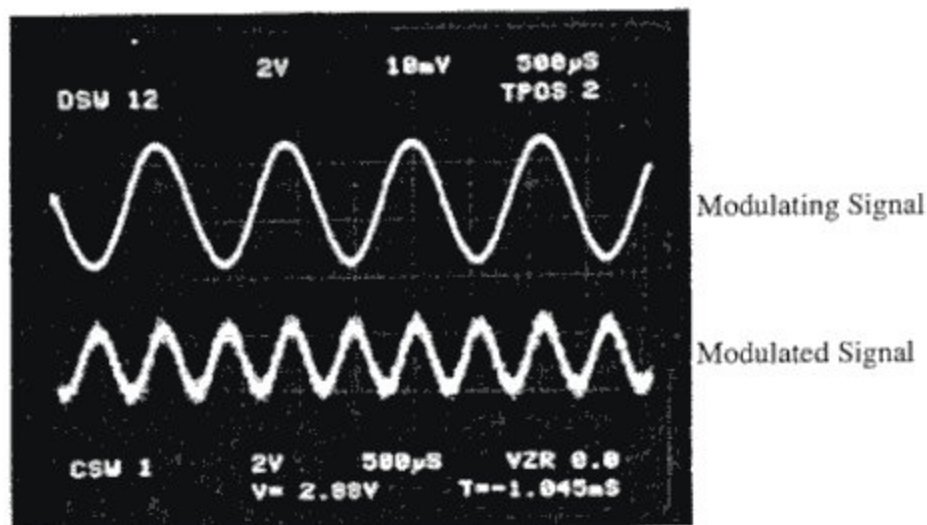


Fig. 8 Modulation characteristics of Sample 3 in Table 1

In summary, an active dual-functional polymeric waveguide was fabricated through simultaneously doping  $\text{NdCl}_3 \cdot \text{H}_2\text{O}$  and chlorophenol red in photo-lime gel polymer matrix. Optical amplification and electro-optic effect were observed in the waveguide simultaneously. The doping concentrations of  $\text{Nd}^{+3}$  and chlorophenol red were investigated to get the optimum amplification and EO modulation. The optimized doping concentrations of  $\text{Nd}^{+3}$  and chlorophenol red in gelatin were  $6.7 \times 10^{19} / \text{cm}^3$  and 23%, respectively. A 3.8 dB of amplification gain and 30 pm/V of electro-optic coefficient were demonstrated.

The authors are grateful to Drs. Suning Tang and Bipin Bihari for their helpful discussion. This research is currently supported by ONR, BMDO and AFOSR.

### References

- [1] Roger A. Lessard and Gurusamy Manivannan, "Holographic recording materials: an overview," *Proc. SPIE*, vol. 2405, pp. 2-23, 1995.
- [2] R.T. Chen, M. R. Wang, and T. Jansson, "Intraplane guided wave massive fanout optical interconnections," *Appl. Phys. Lett.*, vol 57(20), pp. 2071-2073, 1990.
- [3] M. R. Wang, G. J. Sonek, R. T. Chen, and T. Jansson, "Five-channel polymer waveguide wavelength division demultiplexer for the near infrared," *IEEE Photon. Technol. Lett.*, vol 3(1), pp. 36-38, 1991.
- [4] Suning Tang and Ray T. Chen, "1-to-27 highly parallel three-dimensional intra- and inter-board optical interconnects," *IEEE Photon. Technol. Lett.*, vol. 6(2), pp. 299-301, 1994.



- [5] S.S. Duncan, J.A. Mcquoid, and D.J. McCartney, "Holographic filters in dichromated gelatin position tuned over the near infrared region," *Opt. Eng.*, vol. 24, pp. 781, 1985.
- [6] D.E. Sheat, J. S. Leggatt, and D.J. McCartney, "Position tunable volume reflection gratings for narrowband filtering applications (FWHM < 5 nm) in optical fibre systems," *Electro. Lett.*, vol. 26(1), pp. 42-44, 1990.
- [7] James A. Arns, "Holographic transmission gratings improve spectroscopy and ultrafast laser performances," *Proc. SPIE*, vol. 2404, pp. 174-181, 1995.
- [8] Chris Rich, and George J. Jr. Vendura, "Constructive use of high order harmonics in holographic Lippmann mirrors," *Proc. SPIE*, vol. 1212, pp. 76-81, 1990.
- [9] Yu.E. Kuzilin, Yu.B. Mel'nichenko, and V.V. Shilov, "Kinetics of formation of holographic structure of a hologram mirror in dichromated gelatin," *Proc. SPIE*, vol. 1238, pp. 200-205, 1991.
- [10] R. D. Bahuguna, J. Beaulieu, and H. Arteaga, "Reflection display holograms on dichromated gelatin," *Appl. Opt.*, vol. 31(29), pp. 6181-6182, 1992.
- [11] Kazumasa Kurokawa, Satoshi Koike, Shinji Namba, Toru Mizuno, and Toshihiro Kubota, "Full-color holograms recorded in methylene blue sensitized dichromated gelatin," *Proc. SPIE*, vol. 2577, pp. 106-111, 1995.
- [12] R.T. Chen, "Cross-link induced linear and curved channel waveguide arrays for massively parallel optical interconnections," *Proc. SPIE*, vol. 1774, pp.103-110, 1992.
- [13] W. J. Miniscalco, "Optical and electronic properties of rare earth ions in glasses", in *Rare Earth Doped Fiber Lasers and Amplifiers*, edited by Michel J. F. Digonnet, Marcel Dekker, Inc., New York, 1993. pp. 50-54.
- [14] C. C. Teng and H. T. Man, "Simple reflection technique for measuring the electro-optic coefficient of poled polymers," *Appl. Phys. Lett.*, vol. 56(18), pp. 1734-1736, 1990.