

Multiple diffraction of massive fanout optical interconnects based on multiplexed waveguide holograms

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ABSTRACT

Experimental results of 1-to-2 intra-plane and of 1-to-32 inter-plane v-shaped fanouts are delineated. Coupling efficiencies of 48% for surface-normal and of 45% for near-surface-normal inter-plane fanout beams are theoretically and experimentally confirmed. The influence of the angular fluctuation of a device having two multiplexed waveguide holograms with film thickness of $15\mu\text{m}$ and index modulation of 0.04 is studied. The angle between the two grating vectors is determined to be less than 26° to keep the near-surface-normal fanout beams.

INTRODUCTION

Optical interconnects are of choice to replace conventional electrical interconnects in high-speed multi-processor digital computers^[1-3] where electrical interconnects face such fundamental limits as clock skew, RLC (resistance, inductance, and capacitance) time delay, and electromagnetic interference (EMI) effect.

Recently polymer-based integrated photonic circuit elements have been employed for a myriad of applications in optically interconnected systems due to their suitability to various substrates and low material dispersion. These system demonstrations include intra-plane^[4-7] and inter-plane^[8, 9] optical interconnects, and wavelength division (de)multiplexers^[9, 10]. Very recently, two new 1-to-many three dimensional (3D) board-to-board and backplane optical interconnect schemes were demonstrated using the substrate guided waves^[11,12]. Intra- and inter-plane optical interconnects with parallel fanout beams and v-shaped fanout beams are important building blocks for intra-wafer and chip-to-chip interconnects^[4]. For chip-to-chip optical interconnects, v-shaped fanout pattern has the advantage of providing straight optical path which reduces the loss of optical bus significantly^[13].

In this letter, we present for the first time the theoretical analysis together with the experimental demonstration of v-shaped optical interconnects based on 2D multiplexed hologram arrays along substrate guided waves. Two multiplexed waveguide holograms are employed to convert one surface normal incident beam into two intra-plane substrate guided waves. The intra-plane guided waves are then coupled with two hologram arrays integrated along the optical paths on intra-plane beams to provide arrays of 1-to-2 inter-plane fanouts. As a result, multiple diffraction of massive optical fanout arrays can be provided at both intra- and inter-plane levels.

DEMONSTRATIONS OF INTRA- AND INTER-PLANE V-SHAPED OPTICAL INTERCONNECTS

1. Intra-plane v-shaped optical interconnects:

The schematic illustrating how the multiplexed waveguide holograms convert one surface normal incident beam into two substrate guided waves is shown in Fig.1. The diffraction angle θ is greater than the critical angle θ_c of total internal reflection (TIR), i.e., $\theta \geq \theta_c$. The two holograms shown in Fig.1 are recorded with

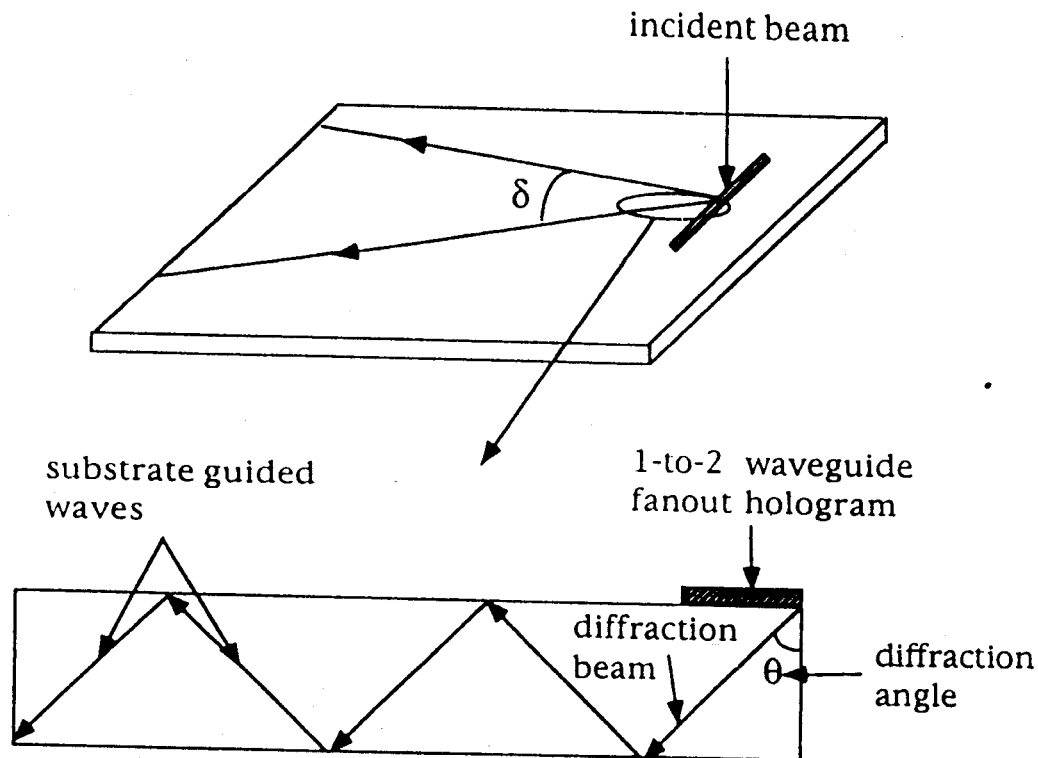


Fig.1 Schematic of the demonstrated 1-to-2 intra-plane interconnects with a surface-normal incident beam

the same diffraction angle which is 45° in our experiment. Different intra-plane fanout directions are realized by rotating the recording plate about its surface-normal axis. The rotation angle δ shown in Fig.1 is also the angle between the two grating vectors of the multiplexed holograms. Each hologram was fabricated using the two-beam interference method⁽¹¹⁾. In our experiment, we use an Argon laser operating at 488nm as the recording beam, and a He-Ne laser as the reconstruction beam ($\lambda_r=632.8\text{nm}$).

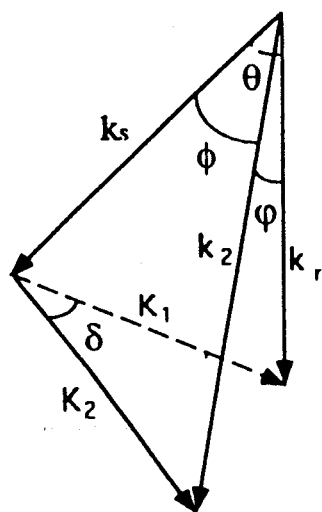
The photograph of the intra-plane v-shaped 1-to-2 optical interconnects is shown in Fig.2, where two substrate guided waves are clearly displayed with $\delta=15^\circ$. He-Ne laser beam with TE transverse electric polarization was employed in the reconstruction. Coupling efficiency of 48% for each hologram was measured. For the intra-plane optical interconnects shown in Fig.2, by using substrate guided waves, a multiplexed hologram with linear dimension equivalent to that of the incident TEM_{00} He-Ne laser beam is enough, which greatly reduces the surface area employed when compared with the conventional guided-wave devices.



Fig.2 The phototgraph of the v-shaped intra-plane optical interconnects

2. Inter-plane v-shaped optical interconnects

For the inter-plane optical interconnects, we need 2D arrays of multiplexed hologram to convert intra-plane substrate guided waves into inter-plane optical interconnect fanout beams. Fig.3 shows the phase-matching diagram of each multiplexed hologram containing two gratings which provide surface-normal (90°) and near-surface-normal (11° deviation from surface normal) fanouts. Note that the two grating vectors associated with each multiplexed hologram for intra- and inter-plane fanouts are formed with the same recording geometry.



- k_s : substrate guided wave vector
- k_r : wave vector of surface-normal fanout beam
- k_2 : wave vector of near-surface-normal fanout beam
- K_1, K_2 : two grating vectors of the multiplexed hologram
- θ : diffraction angle of perfectly phase-matched case
- ϕ : diffraction angle of not perfectly phase-matched case
- φ : angle deviation of the near-surface-normal fanout beam from the substrate surface normal

Fig.3 Phase-matching diagram within the substrate for surface-normal and near-surface-normal fanout beams

A schematic of the inter-plane v-shaped optical interconnects demonstrated herein is shown in Fig. 4(a) where the far field v-shaped fanouts resulting from the 2D hologram arrays are illustrated. Each intra-plane fanout beam on the master plate is coupled with two gratings associated with the multiplexed hologram arrays along the zig-zag optical paths. Two inter-plane fanout beams are generated each time when the intra-plane guided wave comes across a multiplexed hologram. One beam results from the coupling between the substrate guided wave vector k_s and the grating vector K_1 of one hologram. This represents the perfectly phase-matched case. The fanout beam is normal to the substrate surface. The other beam is activated due to the interaction between the substrate guided wave vector k_s and the grating vector K_2 of the other hologram. This is designed to provide near-surface-normal with a deviation angle of 11° which is not perfectly phase-matched in our case.

Fig.4(b) shows the photograph of an inter-plane v-shaped 1-to-many optical interconnects consisting of the 2D multiplexed hologram arrays with $\delta=15^\circ$. It shows the far field pattern of 1-to-32 inter-plane fanouts containing 16 surface-normal and 16 near-surface-normal beams.

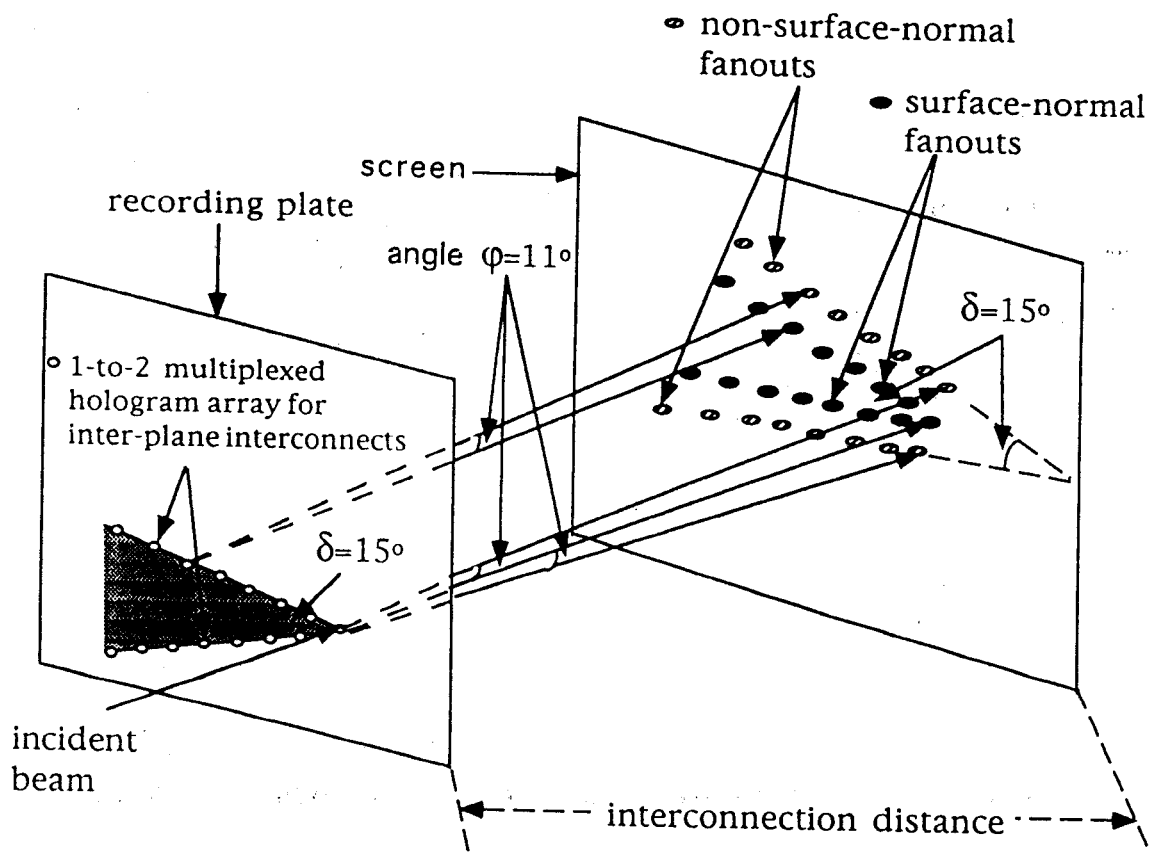


Fig.4(a) The schematic of inter-plane v-shaped 1-to-many optical interconnects



Fig.4(b) The photograph of v-shaped 1-to-32 inter-plane fanouts

THEORETICAL ANALYSIS

To understand the influence of the angles of δ and ϕ on the grating coupling efficiency of the near-surface-normal fanouts for inter-plane optical interconnects, the coupling efficiencies of the surface-normal fanout beam (η_0) and of the near-surface-normal fanout beam (η) were further studied using coupled wave theory^[14]. For the substrate guided mode, the inter-plane fanout hologram is a transmission hologram. The coupling efficiency η is^[14]

$$\eta = \sin^2(v^2 + \xi^2)^{1/2} / (1 + \xi^2/v^2) \quad (1)$$

where

$$v = \pi \Delta n d / \lambda_r (c_r c_s)^{1/2} \quad (2)$$

$$\xi = \Delta \psi K d \sin(\theta - \psi_0) / 2c_s = -\Delta \lambda K^2 d / 8\pi n c_s \quad (3)$$

$$c_r = \cos \psi_0 \quad (4)$$

and

$$c_s = \cos \psi_0 - K/k_r \cos \theta \quad (5)$$

In above equations, Δn is the modulation of the refractive index of the holographic film, d is the thickness of the film, ψ_0 is the incident angle, $\Delta \psi$ is the deviation of the diffraction angle, and K is the magnitude of the grating vector \mathbf{K} .

For the perfectly phase-matched case, i.e. the surface-normal fanouts, $\Delta \psi = 0$. For the near-surface-normal case $\Delta \psi = \phi - 45^\circ$ where ϕ is the diffraction angle which is not perfectly phase-matched (see Fig.3). We use η to represent the near-surface-normal coupling efficiency and ϕ to represent the deviation angle of the near-surface-normal beam from the surface-normal beam. From Fig.3 the expressions for ϕ and θ can be derived as

$$\cos \phi = (k_r^2 + k_2^2 - K^2) / 2k_r k_2 \quad (6)$$

$$\cos \theta = \{k_r^2 + k_2^2 - [2K \sin(\delta/2)]^2\} / 2k_r k_2 \quad (7)$$

and

$$k_2 = \{K^2 + k_r^2 - 2k_r K \cos \delta \cos[(\pi - \theta)/2]\}^{1/2} \quad (8)$$

Where $\lambda_r=632.8\text{nm}$, $\Delta n=0.04$, $d=15\mu\text{m}$, $\psi_0=0$, $\theta=45^\circ$, and $n=1.512$ are chosen in our experiment. Using these parameters, we have $\eta_0=48.7\%$ using the Eq.(1) with $\xi=0$. The calculated result of η/η_0 with different δ and φ is shown in Fig.5. It is clear that when $\delta=15^\circ$ and $\varphi=11^\circ$ which is equivalent to our experiment, we have $\eta/\eta_0=0.926$, therefore $\eta=45.1\%$. To eliminate or to keep the near-surface-normal fanouts, appropriate ranges of δ and of φ have to be chosen when designing the device. From Fig.5 we know that for our parameters, δ should be bigger than 30° to eliminate the near-surface-normal fanout beam, while δ should be less than 26° and φ should be less than 16° if the near-surface-normal fanout beams are desired.

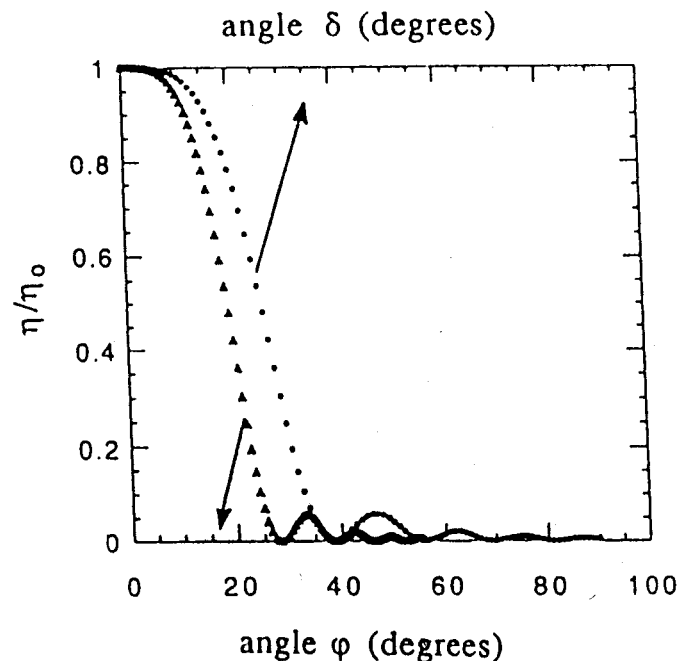


Fig.5 Normalized coupling efficiency (η/η_0) of the near-surface-normal fanout beams as functions of angles δ and φ

Once the coupling efficiencies of the surface-normal and of the near-surface-normal fanouts are determined, we can derive the intensity of each fanout beam. Figs.6(a) and (b) show the normalized intensities of the eight surface-normal and of the eight near-surface-normal fanout beams from one branch of the v-shaped inter-plane fanouts. The measured coupling efficiencies for our device are 48% for the surface-normal fanout beam and 45% for the near-surface-normal fanout. An excellent agreement between our experimental results and theoretical analysis is provided.

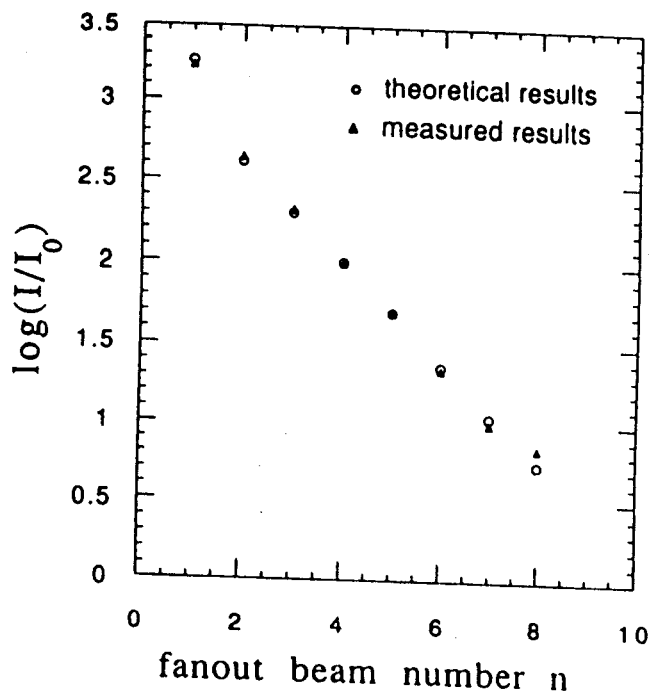


Fig.6 (a) Normalized intensities of the eight surface-normal beams from one branch of the v-shaped inter-plane fanout array

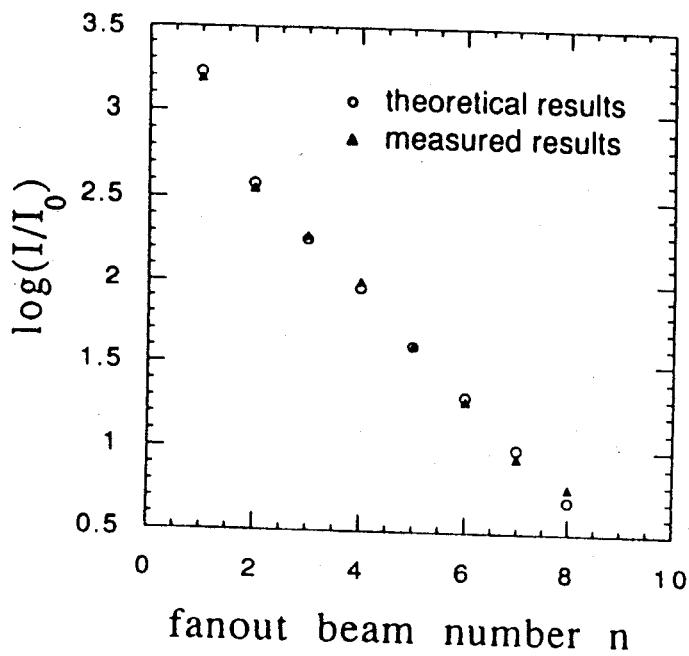


Fig.6 (b) Normalized intensities of the eight near-surface-normal beams from one branch of the v-shaped inter-plane fanout array

SUMMARY

In summary, we report, for the first time, the theoretical analysis and the experimental results for a novel intra-plane and inter-plane v-shaped 1-to-many optical interconnect that uses a 2D multiplexed waveguide hologram arrays and substrate guided waves. 1-to-2 intra-plane optical interconnect fanouts and 1-to-32 inter-plane fanouts containing 16 surface-normal and 16 near-surface-normal beams are delineated. We further measured the throughput of each inter-plane fanout beam. An excellent agreement between the measured results and our theoretical analysis is obtained. The influence of the angles δ and ϕ on coupling efficiency of the near-surface-normal beam is detailed and appropriate design criteria for δ and for ϕ are provided.

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